

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

-----X  
**SECURITIES AND EXCHANGE  
COMMISSION,**

Plaintiff,

Case No. 07 Civ. 6146

-against-

**THEODORE ROXFORD a/k/a LAWRENCE  
DAVID NIREN and HOLLINGSWORTH,  
ROTHWELL & ROXFORD,**

Defendants,  
-----X

**NOTICE OF DISMISSAL OF COUNSEL  
AND CONSENT TO ENTRY OF DEFAULT JUDGMENT**

**WHEREAS,**

1. Defendant Hollingsworth, Rothwell & Roxford ("HRR") has no assets; and
2. Theodore Roxford a/k/a Lawrence David Niren, Kenneth Rothwell and Hugh Hollingsworth (collectively, the "HRR Partners") have each been advised of their rights as a corporate defendant in this case by The Roth Law Firm, as well as independent legal counsel, and desire to waive those rights; and
3. The HRR Partners desire to terminate the Roth Law Firm, PLLC as counsel to HRR in this lawsuit; and
4. The HRR Partners desire to permit Plaintiff to enter default judgment against HRR.

**PLEASE TAKE NOTICE THAT,**

1. HRR hereby permits Plaintiff to enter a default judgment against HRR in this lawsuit; and
2. HRR hereby terminates The Roth Law Firm, PLLC as counsel in this lawsuit; and
3. Facsimile signature herein shall be deemed good and sufficient so as to constitute an original signature.

\_\_\_\_\_  
Theodore Roxford a/k/a Lawrence Niren,  
As a Partner of HRR

Sworn to before me this  
\_\_\_\_ day of November, 2007

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
Kenneth Rothwell, As a Partner of HRR


Sworn to before me this  
\_\_\_\_ day of November, 2007

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
Hugh Hollingsworth, As a Partner of HRR

Sworn to before me this  
20 day of November, 2007

\_\_\_\_\_  
Notary Public

NOTARY PUBLIC-STATE OF FLORIDA  
 Carol A. McHugh  
Commission # DD489964  
Expires: NOV. 13, 2009  
Bonded Thru Atlantic Bonding Co., Inc.

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SOUTHERN DISTRICT OF NEW YORK

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SECURITIES AND EXCHANGE  
COMMISSION,

Plaintiff,

Case No. 07 Civ. 6146

-against-

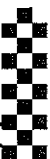
THEODORE ROXFORD a/k/a LAWRENCE  
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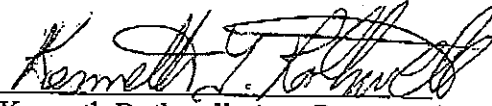
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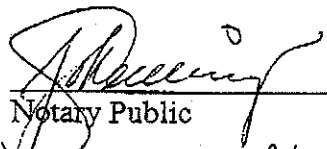
\_\_\_\_\_  
Theodore Roxford a/k/a Lawrence Niren,  
As a Partner of HRR

Sworn to before me this  
\_\_\_\_ day of November, 2007

\_\_\_\_\_  
Notary Public

  
Kenneth Rothwell, As a Partner of HRR

Sworn to before me this  
14TH day of November, 2007

  
\_\_\_\_\_  
Notary Public  
My Commission Expires 01-08-08

\_\_\_\_\_  
Hugh Hollingsworth, As a Partner of HRR

Sworn to before me this  
\_\_\_\_ day of November, 2007

\_\_\_\_\_  
Notary Public

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SOUTHERN DISTRICT OF NEW YORK**

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*No action fee or  
(request copy attached)*

*James Allen - November 19, 2007*  
Theodore Rosford aka Laurence Niren,  
As a Partner of HRR, in front of  
*Myself*

Sworn to before me this  
12 day of November, 2007

Notary Public

Ernest Rothwell, As a Partner of HRR

Sworn to before me this  
\_\_\_ day of November, 2007

Notary Public

Hugh Hellingworth, As a Partner of HRR

Sworn to before me this  
\_\_\_ day of November, 2007

Notary Public



M

CANADA

DATE OF BIRTH - DATE DE NAISSANCE

SEPT. 18, 1953

DATE OF BIRTH - DATE DE NAISSANCE

JULY 28, 2006

NATIONALITY - NATIONALITE

UNITED STATES OF AMERICA

EXPIRES ON - EXPIRE LE

JULY 27, 2007

SEE PAGE 24

*Laurie M. ...*

SIGNATURE OF DEARER - SIGNATURE DU TITULAIRE

NOT VALID UNTIL SIGNED



UNITED STATES OF AMERICA

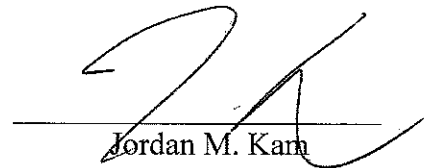
**AFFIRMATION OF SERVICE**

STATE OF NEW YORK     )  
                                  )ss.:  
COUNTY OF NEW YORK    )

JORDAN M. KAM, ESQ., affirms under penalties of perjury:

1. I am over the age of 21 years, am not a party to this action, and reside in New York, New York.
2. On November 20, 2007, I caused the foregoing to be served by electronic mail, on counsel for Plaintiff, Sarah L. Levine, Esq., at the electronic address listed below:

[levinesa@sec.gov](mailto:levinesa@sec.gov)

  
Jordan M. Kam